



आईएफटीएम विश्वविद्यालय, मुरादाबाद, उत्तर प्रदेश

**IFTM University, Moradabad, Uttar Pradesh**

**NAAC ACCREDITED**

# E-Content

## IFTM University, Moradabad

# **PRE-FORMULATION**

## **Part 1**

**Dr. Vijay Sharma**

# Content

- Introduction to the Industrial Pharmacy.
- Introduction to Preformulation.
- Goals and objectives (Major area of preformulation research)
- Study of Physico – Chemical Characteristics of Drug Substances

# INTRODUCTION

- ❖ Prior to development of a formulation or dosage form, it is essential that certain properties of a drug molecule are to be determined.
- ❖ This information decides many of the subsequent events and approaches in formulation development.
- ❖ **Preformulation is the phase of research and development in which the physical and chemical properties of a drug molecule is studied in order to develop safe, effective and stable dosage form.**
- ❖ **Preformulation** commences when a newly synthesized drug shows a sufficient pharmacological promise in animal model to warrant evaluation in man.
- ❖ It is the First step in rational development of a dosage form of a drug substance.

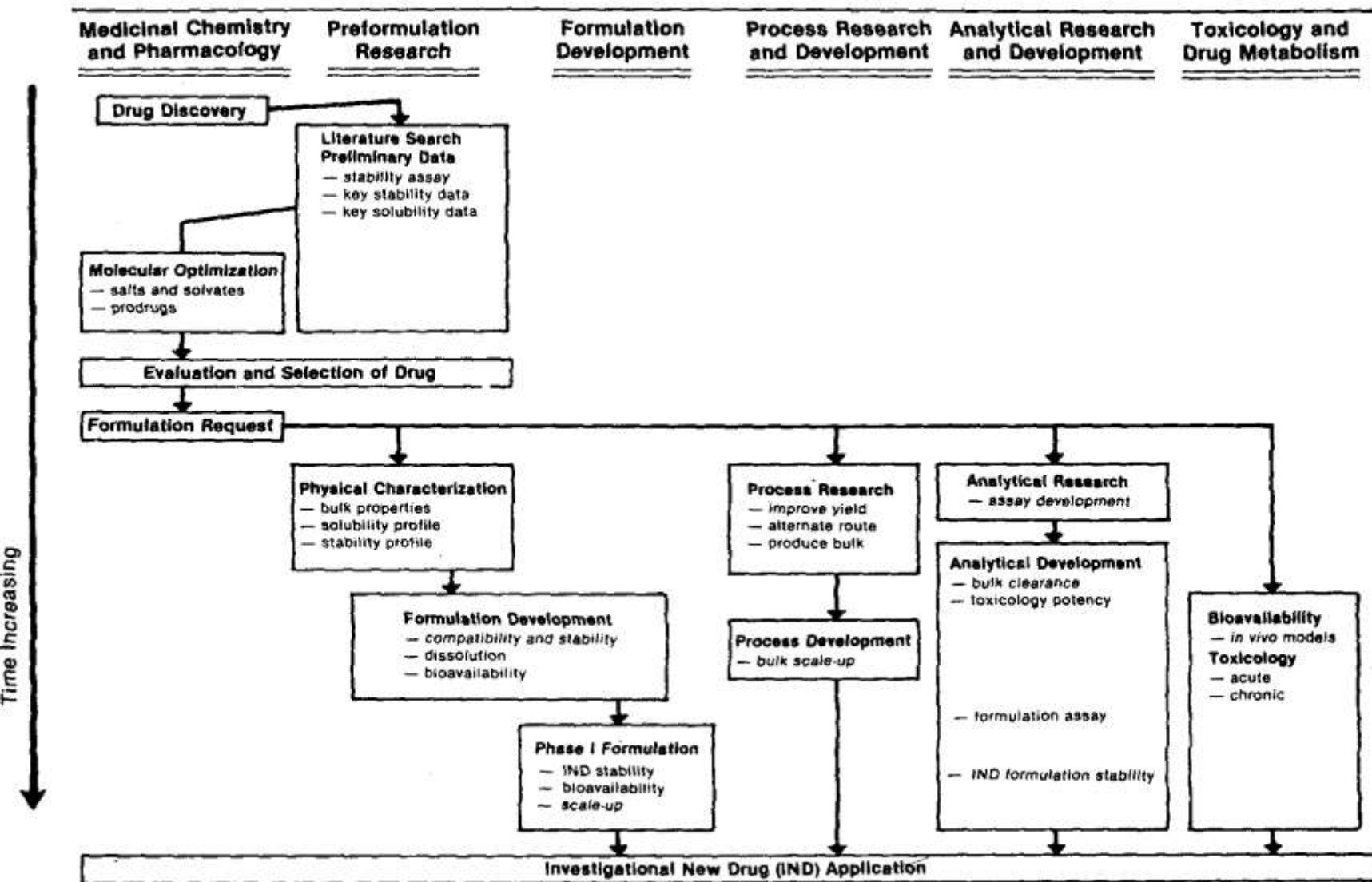
# GOALS & OBJECTIVES

- ❖ To generate information useful to the formulation in developing **most stable and bioavailable dosage form** that can be produced.
- ❖ **To Establish necessary physico - chemical parameters of new drug** substance that can affect the drug performance and development of an efficacious stable and safe dosage form.
- ❖ Establish physical characteristics.
- ❖ Establish compatibility with common excipients.
- ❖ Provide insights into how drug products should be processed and stored to ensure their quality.
- ❖ To develop an optimal drug delivery system.

- I. Compound Identity:**
- II. Structure:**
- III. Formula and Molecular Weight:**
- IV. Therapeutic Indication:**
  - Probable Human Dose:
  - Desired Dosage Form(s):
  - Bioavailability Model(s):
  - Competitive Products:
- V. Potential Hazards:**
- VI. Initial Bulk Lots:**
  - Lot Number:
  - Crystallization Solvent(s):
  - Particle Size Range:
  - Melting Point:
  - % Volatiles:
  - Observations:
- VII. Analytical Methods:**
  - HPLC Assay:
  - TLC Assay:
  - UV/VIS Spectroscopy:
  - Synthetic Route:
  - Probable Decay Products:
- VIII. Key Dates:**
  - Bulk Scale-Up:
  - Toxicology Start Date:
  - Clinical Supplies Preparation:
  - IND Filing:
  - Phase I Testing:
- IX. Critical Development Issue(s):**

**Essential  
requirement  
helpful in designing  
Preformulation**

## DISCIPLINES





# PHYSICO-CHEMICAL CHARACTERISATION

## **A. Physical Properties of Drug Substances**

- ✓ Organoleptic Characterisation
- ✓ Bulk Characterisation
- ✓ Solubility Profile

## **B. Chemical Properties of Drug Substances**

- ✓ Hydrolysis
- ✓ Oxidation
- ✓ Reduction
- ✓ Racemisation
- ✓ Polymerisation



## A. PHYSICAL PROPERTIES OF DRUG SUBSTANCES

❖ The physical properties of drug molecules **can affect the structure and stability of formulations** and may also alter the bioavailability of the drugs from the dosage forms.

❖ Hence, physical properties of drugs are important in the dosage form design.

❖ There are three categories of physical properties influence dosage form design.

✓ Organoleptic Characterisation

✓ Bulk Characterisation

✓ Solubility Profile

## i) Organoleptic Characterisation

Refers to the evaluation of drug on the basis of

1. Color,
2. Odor,
3. Texture and
4. Taste.

- ❖ Product should be good in appearance.
- ❖ Colour should be eye – appealing.
- ❖ Odour and taste should be pleasant.
- ❖ Absence of impurities and should be in the purest form.

## ii) Bulk Characterisation

❑ Bulk characterisation of drug molecules involves the characterisation of various solid – state properties that could change during the process development.

Variability of bulk characterisations, significantly prove subsequent events and approaches in drug development process.

### **Bulk Characterization includes**

- Crystallinity, Amorphism, and Polymorphism physical properties
- Hygroscopicity
- Fine particle characterisation
- Density (Bulk density)

# Crystallinity, Amorphism, and Polymorphism – Physical properties

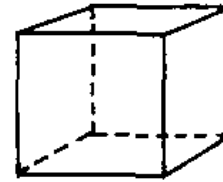
## 1. Crystallinity

- Crystal compounds are characterised by repetitious spacing of constituent atoms or molecules.
- Crystals can be of different shapes. E.g cubic, tetragonal, orthorhombic etc.
- The crystal habit and crystal internal structure of a drug can affect the bulk and flow properties as well as chemical stability.
- Crystal habit – outer appearance of a crystal

# CRYSTAL HABIT



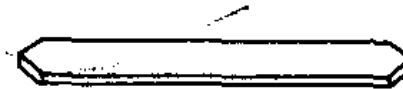
**Platy**



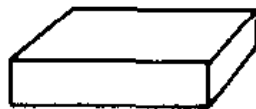
**Equant or Massive**



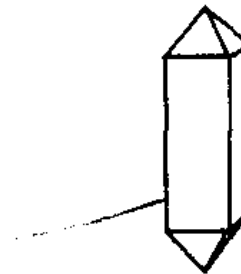
**Needle or Acicular**



**Bladed**



**Tabular**



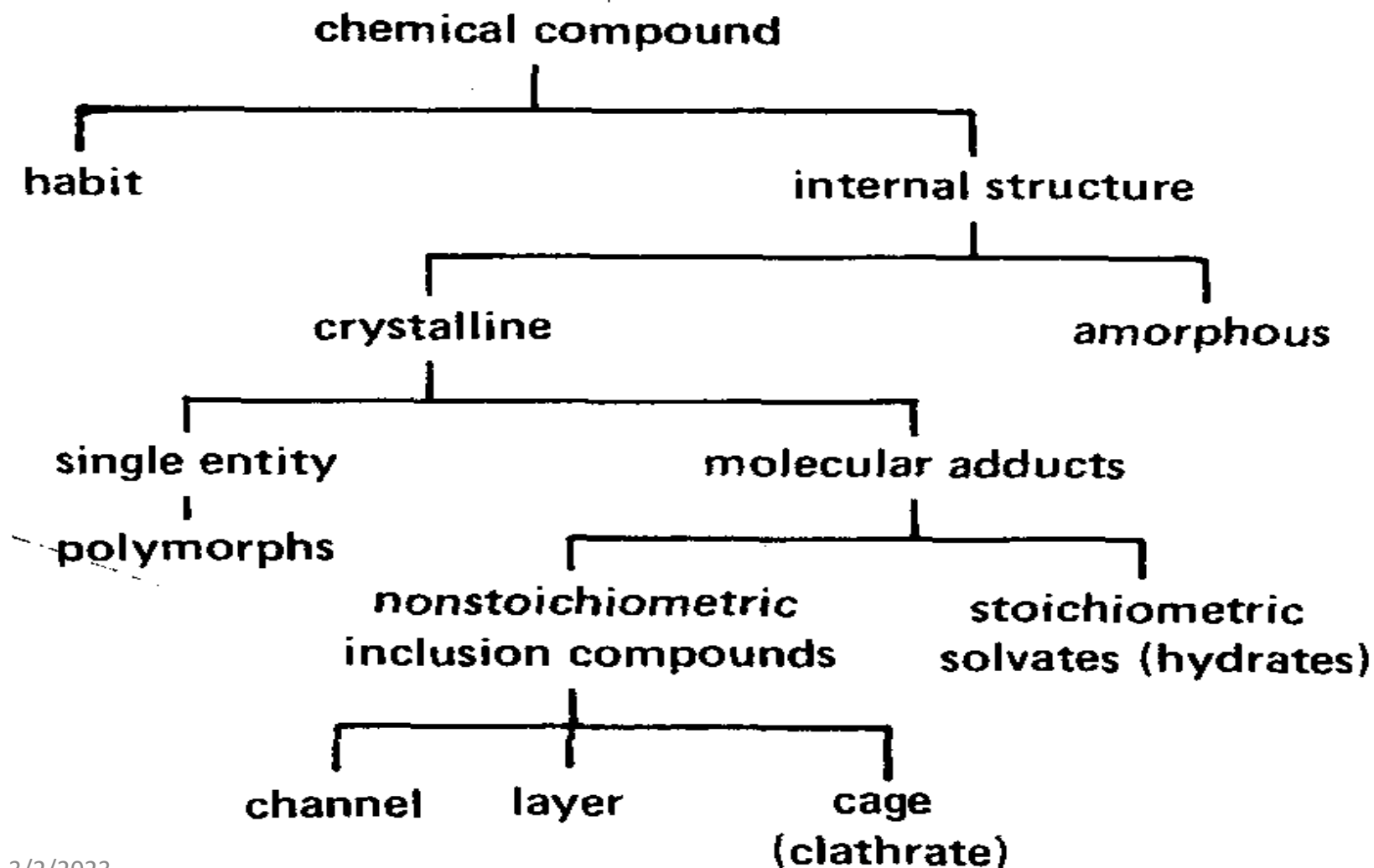
**Prismatic**

# 1. CRYSTALLINITY

- ❖ Internal structure – molecular arrangement within the solid.
- ❖ Degree of crystallinity affects the hardness, density, transparency, and diffusion.
- ❖ Crystallinity has a greater affect on the absorption of drugs.
- ❖ Crystalline compounds may have
  - ❖ stoichiometric or
  - ❖ non – stoichiometric adduct,Where the non–stoichiometric adduct is undesirable and removed.



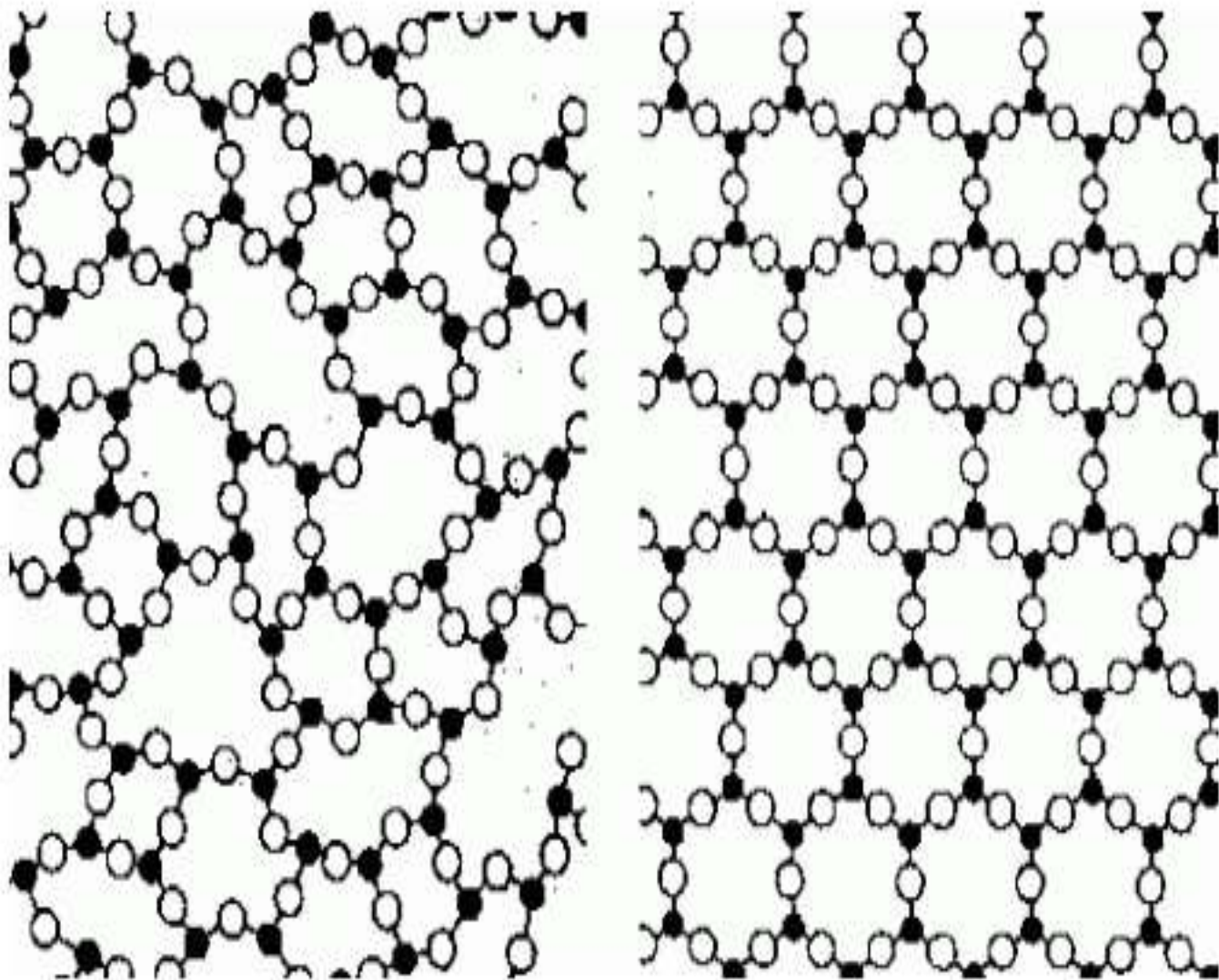
# INTERNAL STRUCTURE





## 2. AMORPHISM

- ❖ Amorphous compounds are those whose atoms or **molecules are randomly placed.**
- ❖ Internal structure shows a major distinction whether the solid is crystalline or amorphous.
- ❖ Some drugs can exist in amorphous state. They are typically prepared by rapid precipitation, lyophilization.
- ❖ Such drugs **represent highest energy state**, or higher thermodynamic energy than the crystalline state.
- ❖ Amorphous form are **less stable than its crystalline state.**
- ❖ The solubility of amorphous form is **greater than its crystalline state.**
- ❖ Upon storage, amorphous solids tend to revert to more stable forms. Thermodynamic instability is a major disadvantage for developing a dosage form.

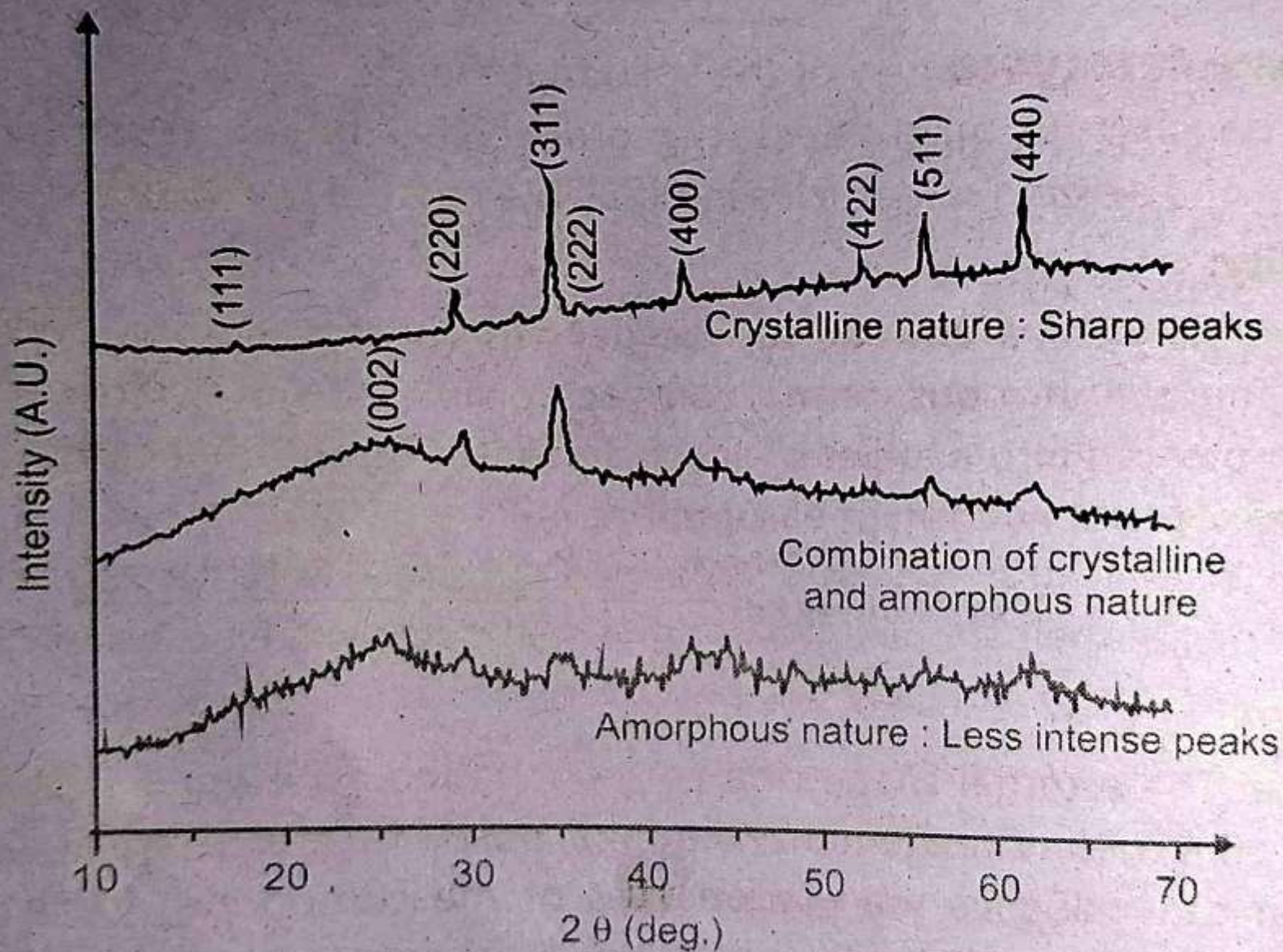


Amorphous structure of a glassy solid (left) and lattice structure of a crystalline solid (right).

## 2. POLY-MORPHISM

- When a substance exists in more than one crystalline form
  - **The different forms are designated as Polymorphs and this phenomenon is known as Polymorphism.**
- Polymorphs are of two types
- **Enantiotropic polymorphs** – is the one which can be reversibly changed into another form by altering the temperature or pressure.
- **Monotropic polymorphs** – is the one which is unstable at all temperatures and pressures.
- Polymorphs differ from each other respect to their physical properties like solubility, melting point, density etc.
- Depending on the stability on enantiotrops will be more stable than the other. Such stable forms have **lower energy state**, high melting point, least aqueous stability.
- Other forms are called metastable forms with the opposite properties.
- Determined by **Differential Scanning Calorimetry, X –Ray Diffraction methods.**





**Fig. 2.4: X-Ray Diffractograms of Different Forms of Solids**

# Pseudo-polymorphs (Hydrate/ Solvates)

- **Pseudo-polymorphism** is also a known phenomenon in which two compounds exhibit different crystalline structures, of which one is the host of solvent molecules.
- A **hydrate** is a substance that contains water or its constituent elements.
- The chemical state of the water varies widely between different classes of hydrates, some of which were so labeled before their chemical structure was understood.

# References

## Reference Books

- Leon Lachman, Liberman. The theory and practice of Industrial pharmacy, Edn 4. CBS publishing house, New Delhi.2013 p:217-307.
- Banker GS, Rhodes CT. Modern pharmaceuticals, Edn 4. Marcel Dekker, New York. 2002 p:167-184.
- Loyd V. Allen, Nicholas G.popovich, Howard C. Ansel. Ansel's pharmaceutical Dosage forms & Drug delivery systems, Edn 8. B.I.Publication pvt. Ltd, p:187-193,42 & 43,126-133.

## Text Books

- Leon Lachman, Liberman. The theory and practice of Industrial pharmacy, Edn 4. CBS publishing house, New Delhi.2013 p:217-307.
- Brahmankar D.M., Jaiswal S.B., First edition, “Absorption of Drugs” Biopharmaceutics and Pharmacokinetics – A treatise, Vallabh Prakashan, Delhi 1995.





# Thank You